

# Ansvarsfulla investeringar

## Lista över principer och konventioner som täcks i hållbarhetsgenomlysning

Länsförsäkringar AB investerar kapital, direkt och indirekt, i olika tillgångslag. Via innehav i aktier och företagsobligationer har vi investeringar i ett stort antal bolag. Vi följer de FN-stödda principerna för ansvarsfulla investeringar ("PRI") i förvaltningen av kapital. Principerna fokuserar bland annat på att integrera hållbarhetsfrågor i investeringsanalyser och beslutsprocesser, samt att vara en aktiv ägare.

Som en del av arbetet med ansvarsfulla investeringar tillämpar vi hållbarhetsgenomlysning på aktie- och kreditinnehav. Därigenom verkar vi för att enskilda bolag ska följa principer för ansvarsfullt företagande kopplat till områdena miljö, mänskliga rättigheter, arbetsrätt och anti-korruption. Innehav genomlysas regelbundet i syfte att identifiera om något misstänks överträda principer för ansvarsfullt företagande och internationella konventioner inom hållbarhetsområdet.

I arbetet med genomlysningen använder vi oss av underlag och analys från åtminstone ett externt analysföretag. Analysföretaget täcker i sin analysmodell och databas in FN Global Compacts tio principer för ansvarsfullt företagande, OECD:s riktlinjer för multinationella företag, FN:s vägledande principer för företag och mänskliga rättigheter, samt deras underliggande konventioner.

Tabellen nedan visar en förteckning över FN Global Compacts principer, OECD:s riktlinjer samt FN:s vägledande principer rörande mänskliga rättigheter. Under den tabellen listas konventionerna.

*Förteckningen uppdaterades juni 2019*

## Principer och riktlinjer för ansvarsfullt företagande som täcks i genomlysning

Område	FN:s Global Compacts tio principer	OECD:s riktlinjer för multinationella företag	FN:s vägledande principer för företag och mänskliga rättigheter
<b>Mänskliga rättigheter</b>	Principle 1 - Businesses should support and respect the protection of internationally proclaimed human rights	Chapter IV - Human Rights	11 - Respect for Human Rights 12 - Respect Int. recognized Human Rights 13 - Avoid / Prevent Human Rights impacts 14 - Enterprise context and structure adverse impacts
	Principle 2 - Businesses should make sure that they are not complicit in human rights abuses	Chapter VIII - Consumer Interests	15 - Policy Commitment 16 - Policy Statement 17 - Human Rights Due Diligence 18 - Identify and assess actual / potential impacts 19 - Integration and appropriate action 20 - Verification of adverse impacts with stakeholders 21 - Communicate on human rights impact 22 - Provide remediation

Område	FN:s Global Compacts tio principer	OECD:s riktlinjer för multinationella företag	FN:s vägledande principer för företag och mänskliga rättigheter
<b>Arbetsrätt</b>	<p>Principle 3 – Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining</p> <p>Principle 4 – Businesses should uphold the elimination of all forms of forced and compulsory labor</p> <p>Principle 5 – Businesses should uphold the effective abolition of child labour</p> <p>Principle 6 – Businesses should uphold the elimination of discrimination in respect of employment and occupation</p>	Chapter V – Employment and Industrial Relations	<p>11 – Respect for Human Rights</p> <p>12 – Respect Int. recognized Human Rights</p> <p>13 – Avoid / Prevent Human Rights impacts</p> <p>14 – Enterprise context and structure adverse impacts</p> <p>15 – Policy Commitment</p> <p>16 – Policy Statement</p> <p>17 – Human Rights Due Diligence</p> <p>18 – Identify and assess actual / potential impacts</p> <p>19 – Integration and appropriate action</p> <p>20 – Verification of adverse impacts with stakeholders</p> <p>21 – Communicate on human rights impact</p> <p>22 – Provide remediation</p>
<b>Miljö</b>	<p>Principle 7 – Businesses should support a precautionary approach to environmental challenges</p> <p>Principle 8 – Businesses should undertake initiatives to promote greater environmental responsibility</p> <p>Principle 9 – Businesses should encourage the development and diffusion of environmentally friendly technologies</p>	Chapter VI – Environment Chapter IX – Science and Technology	N/A
<b>Korruption</b>	Principle 10 – Businesses should work against corruption in all its forms, including extortion and bribery	Chapter VII – Combating Bribery, Bribe Solicitation and Extortion Chapter X – Competition Chapter XI – Taxation	N/A

## Konventioner

- Agenda 21, the 1992 Rio Earth Summit
- Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal
- Basic Principles on the Use of Force and Firearms by Law Enforcement Officials
- Chemical Weapons Convention (CWC), 1993
- Code of Good Practices on Fiscal Transparency
- Convention against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment
- Convention Concerning the Protection of the World Cultural and Natural Heritage
- Convention for the Protection of the Marine Environment of the North-East Atlantic
- Convention on Biological Diversity
- Convention on Cluster Munitions, 2008
- Convention on Environmental Impact Assessment in a Transboundary Context
- Convention on International Trade in Endangered Species of Wild Fauna and Flora
- Convention on Long-range Transboundary Air Pollution
- Convention on Nuclear Safety
- Convention on the Prohibition of Development, Production, Stockpiling of Bacteriological (Biological) and Toxin Weapons and on the Destruction, 1972
- Convention on the Protection and Use of Transboundary Watercourses and International Lakes
- Convention on the Rights of Persons with Disabilities
- Declaration of the United Nations Conference on the Human Environment
- Forest Principles
- Hague Convention, 1907
- IAEA Safety Standards
- ICGN (International Corporate Governance Network) Global Corporate Governance Principles
- ILO Abolition of Forced Labour Convention (No. 105)
- ILO Collective Bargaining Convention (No. 154)
- ILO Declaration on Fundamental Principles and Rights at Work
- ILO Discrimination (Employment and Occupation) Convention (No. 111)
- ILO Equal Remuneration Convention (No. 100)
- ILO Forced Labour Convention (No. 29)

- ILO Forty-Hour Week Convention (No. 47)
- ILO Freedom of Association and Protection of the Right to Organise Convention (No. 87)
- ILO Hours of work Convention (No. 1)
- ILO Indigenous and Tribal Peoples Convention (No. 169)
- ILO Migration for Employment Convention (Revised) (No. 97)
- ILO Minimum Age Convention (No. 138)
- ILO Minimum Wage Fixing Convention (No. 131)
- ILO Occupational Safety and Health Convention (No. 155)
- ILO Protection of Wages Convention (No. 95)
- ILO Right to Organise and Collective Bargaining Convention (No. 98)
- ILO Worst Forms of Child Labour Convention (No. 182)
- International Convention against all Forms of Discrimination against Women
- International Convention for the Prevention of Pollution from Ships
- International Convention for the Protection of All Persons from Enforced Disappearance
- International Convention for the Regulation of Whaling
- International Convention on Oil Pollution Preparedness, Response and Cooperation
- International Convention on the Elimination of all Forms of Racial Discrimination
- International Convention on the Protection of the Rights of All Migrant Workers and Members of Their Families
- International Convention on the Rights of the Child
- International Covenant on Civil and Political Rights
- International Covenant on Economic, Social and Cultural Rights
- International Humanitarian Law (especially the Geneva Conventions)
- International Treaty on Plant Genetic Resources for Food and Agriculture
- Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management
- Minamata Convention on Mercury
- Optional Protocol to the Convention on the Rights of the Child on the Sale of Children, Child Pornography and Child Prostitution
- PACI Principles for Countering Bribery
- Rio Declaration on Environment and Development

- Rotterdam Convention on the Prior Informed Consent Procedures for Certain Hazardous Chemicals and Pesticides in International Trade
- Stockholm Convention on Persistent Organic Pollutants
- The 1997 Convention on the Prohibition of the Use, Stockpiling, Production and Transfer of Anti-Personnel Mines and on their Destruction
- The Cartagena Protocol on Biosafety
- The Declaration on the Rights of Persons Belonging to National or Ethnic, Religious and Linguistic Minorities
- The Paris Agreement on Climate Change
- The Arms Trade Treaty (ATT)
- Transactions (OECD Anti-Bribery Convention)
- Treaty on the Non-Proliferation of Nuclear Weapons, 1968
- UN Code of Conduct for Law Enforcement Officials
- UN Conference on Environment and Development and resulting standards/legislation
- UN Convention against Corruption
- UN Convention on Combating Bribery of Foreign Public Officials in International Business
- UN Convention on the Law of the Sea
- UN Convention relating to the Status of Refugees
- UN Convention to Combat Desertification
- UN Declaration on the Rights of Indigenous Peoples
- UN Framework Convention on Climate Change (and resulting Kyoto Protocol)
- UN Framework Convention on Climate Change (UNFCCC)
- UN Sanctions
- UNECE Convention on Access to Information, Public Participation in Decision-making and Access to Justice in Environmental Matters
- Universal Declaration on Human Rights
- Vienna Convention for the Protection of the Ozone Layer